

**Lecture L&M 7**

**Pumping Lemma for Context-Free  
Languages, Turing Machines**

## 7.1 Pumping lemma for context-free languages

For each of the following languages  $L$ , use the pumping lemma to prove that it is not context-free:

A.  $L = \{a^k \mid \exists n \in \mathbb{N}: k = n^2\}$

Let  $k$  be arbitrary. Choose  $z = a^{k^2}$ , and let  $z = uvwxy$  with  $|vwx| \leq k$  and  $|vx| > 0$ . It is obvious that  $v, w$  and  $x$  consist of only  $a$ s. So  $uv^2wx^2y = a^n$  with  $n = k^2 + |vx|$ . It follows from this that  $k^2 < n \leq k^2 + k$ . Since  $k > 0$ ,  $(k+1)^2 = k^2 + 2k + 1 > k^2 + k \geq n$ , so  $n$  cannot be a square (the root of  $n$  is strictly between  $k$  and  $k+1$ ) so  $a^n \notin L$ .

B.  $L = \{a^i b^j c^i d^j \mid i, j \geq 0\}$

Let  $k$  be arbitrary. Choose  $z = a^k b^k c^k d^k$ , and let  $z = uvwxy$  with  $|vwx| \leq k$  and  $|vx| > 0$ . It follows that  $vwx = e^i$  for  $e \in \{a, b, c, d\}$  and  $1 \leq i \leq k$ , or  $vwx = e^i f^j$  for  $ef \in \{ab, bc, cd\}$  and  $1 \leq i + j \leq k$ . In both cases  $uv^0wx^0y = uwy$  contains not as many  $a$ s as  $c$ s or not as many  $b$ s as  $d$ s (or both).

More precisely:  $v$  or  $x$  is non-empty. Call  $a$  and  $c$  complementary, and  $b$  and  $d$  as well. Let  $e$  be a symbol that occurs in  $vx$ . The complementary symbol  $\bar{e}$  does *not* occur in  $vx$ . Since the number of  $e$  in  $z = uvwxy$  is equal to the number of  $\bar{e}$ , the number of  $e$  in  $uwy$  is not equal to the number of  $\bar{e}$ .

C. (extra)  $L = \{a^i b^{2i} a^i \mid i \geq 0\}$

Let  $k$  be arbitrary. Choose  $z = a^k b^{2k} a^k$ , and let  $z = uvwxy$  with  $|vwx| \leq k$  and  $|vx| > 0$ . It follows that  $vwx = a^i b^j$  or  $vwx = b^j a^i$  with  $0 < i + j \leq k$ . We only consider the first case; the second is symmetrical.

There are four options:

- $v = a^m, w = a^n, x = a^{i-m-n}$  with  $n > 0$  (since  $|vx| > 0$ ).  
Then  $uv^0wx^0y = a^{k-i-n} b^{2k} a^k \notin L$ .
- $v = a^m, w = a^n$  and  $x = a^{i-m-n} b^j$  with  $j > 0$ .  
Then  $uv^0wx^0y = a^{k-i+n} b^{2k-j} a^k \notin L$ .
- $v = a^m, w = a^{i-m} b^{j-n}$  and  $x = b^n$  with  $m + n > 0$ .  
Then  $uv^0wx^0y = a^{k-m} b^{2k-n} a^k \notin L$ .
- $v = a^i b^m, w = b^{j-m-n}$  and  $x = b^n$  with  $m > 0$ .  
Then  $uv^0wx^0y = a^{k-i} b^{2k-m-n} a^k \notin L$ .

D. (extra extra)  $L$  is the set of finite-length prefixes of the infinite word

$$abaabaaabaaaab \dots ba^n ba^{n+1} b \dots$$

Let  $k$  be arbitrary. Consider the string  $z = abaab \dots ba^k b$ , which is in the language and has length greater than  $k$ , and assume  $z = uvwxy$  with  $|vwx| \leq k$  and  $|vx| \geq 1$ .

First assume  $|v| \geq 1$ .

**Case 1:**  $v$  has no  $b$ s. In this case,  $v$  consists solely of  $a$ s and lies between two consecutive  $b$ , or  $v$  is the initial  $a$  of  $z$ . In the first subcase,  $v$  occurs in  $z$  in a position of the form

$$\dots ba^n ba^i v a^j ba^{n+2} b \dots$$

where  $i + |v| + j = n + 1$ . Pumping  $v$  produces an incorrect number of  $a$  following  $ba^n b$  and, consequently, the resulting string is not in the language. In the second subcase, pumping  $v$  produces either 0 or more than 1 initial  $a$ , again meaning that the resulting string is not in the language.

**Case 2:**  $v$  has two or more  $b$ . In this case,  $v$  contains a substring  $ba^n b$ . Pumping  $v$  produces a string with two substrings of the form  $ba^n b$ . No string with this property is in the language.

**Case 3:**  $v$  has one  $b$ . Then  $v$  can be written  $a^i ba^j$  and occurs in  $z$  as one of the following three cases:

- $va^{2-j}b \dots$  with  $i = 1$  and  $j \leq 2$ ; i.e.,  $z$  starts with  $v$ . Pumping  $v$  produces a string starting with  $aba^{i+j}baab$ , which cannot occur in the language.
- $\dots ba^{n-1}ba^{n-i}va^{n+1-j}b \dots$ . Pumping  $v$  produces the substring

$$\dots ba^{n-1}ba^{n-i}a^i ba^j a^i ba^j a^{n+1-j}b \dots = ba^{n-1}ba^n ba^{j+i}ba^{n+1}b \dots$$

which cannot occur in a string in the language.

- $ba^{k-1}ba^{k-i}v$  with  $j = 0$ ; i.e.,  $z$  ends with  $v$ . Pumping  $v$  produces a string ending with  $ba^k ba^i b$ , which cannot occur in the language.

Precisely the same argument holds if  $x$  is the nonempty substring of  $vx$ .

## 7.2 Standard Turing machines

For each of the following languages, give a standard Turing machine that recognises it.

The solutions below have been done in JFLAP. Turing machines in JFLAP are different from those in the book in a number of ways:

- JFLAP uses  $\square$  for “blank”, instead of  $B$  as in the book.
- The JFLAP-tape is infinite to the left as well (in the terminology of the book: it’s a 2-way tape). The read head is not on a  $B$  or  $\square$  at the start, but immediately on the first symbol of the input word. This means that you don’t have to start with a  $B/B R$  transition by default.

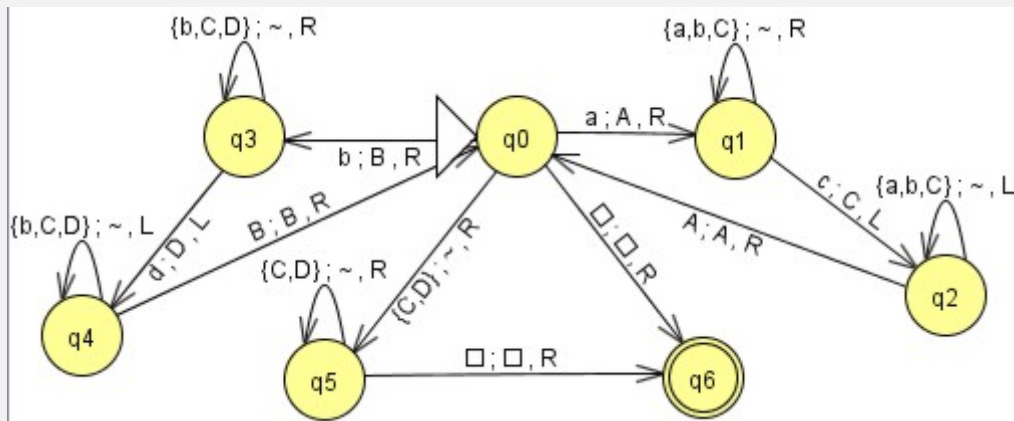
The solutions below make use of a convenient feature of JFLAP: abbreviations can be used on the read- and write position; this yields a template for multiple transitions.

- $\sim$  on the read position means “an arbitrary symbol”

- $!x$  on the read position means “any symbol but  $x$ ”
- $\{a, b, c\}$  on the read position means “one of the symbols  $a, b$  or  $c$ ”
- $\sim$  on the write position means “leave the current symbol”

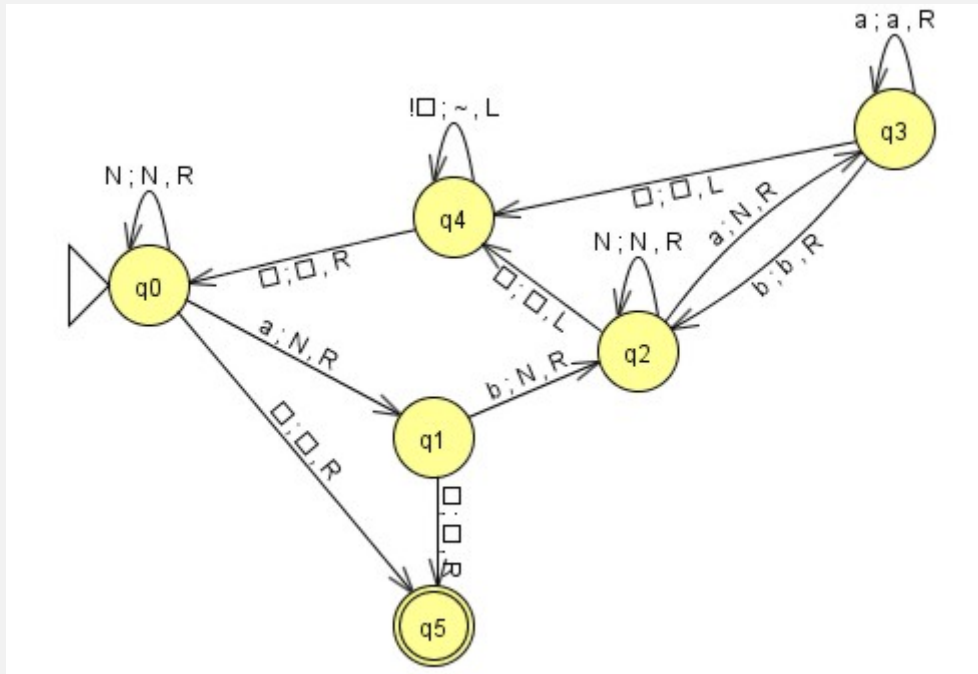
E. Language  $L$  from Exercise B.

The machine repeatedly replaces an  $a$  by an  $A$ , then looks for the first  $c$  and replaces it by a  $C$ , and goes back to the latest  $A$ . Then the same thing happens for  $b/B$  and  $d/D$ . Note that this can only occur after the replacement of the  $a$ : the automaton will halt in the left half if there is another  $a$  on the tape, and in the right half if there is already a  $B$  on the tape. Finally, it is tested whether the rest of the tape now consists of only  $C$ s and  $D$ s.

F. Language  $L$  from Exercise D.

The solution below uses an auxiliary symbol  $N$  that is used to mark the parts of the input that have already been “explained”. The machine reads an initial string of  $N$ s and then replaces one  $a$  and one  $b$  by  $N$ . Subsequently, after every  $b$  in the rest of the string, another  $a$  is replaced by  $N$ . After

this, the read head returns to the start.



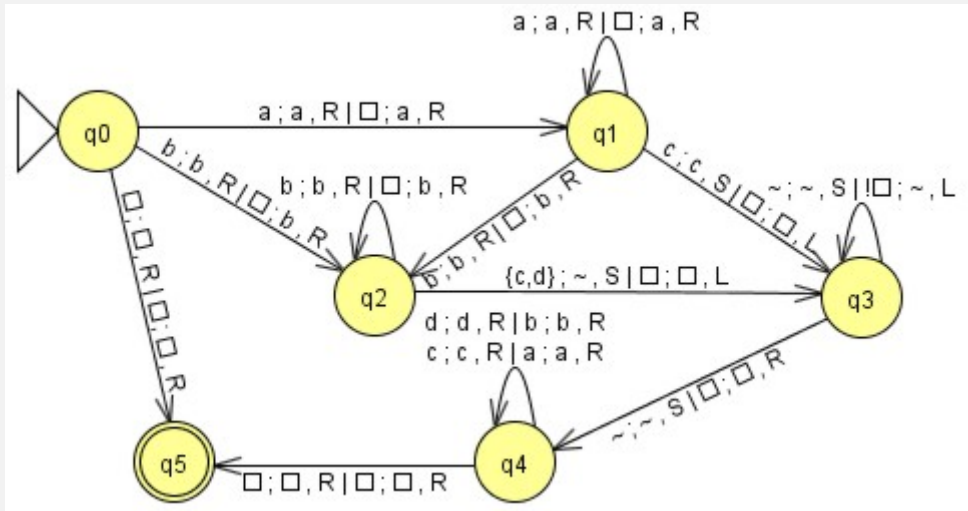
### 7.3 Multi-tape Turing machines

For each of the following languages, give a multi-tape Turing machine that recognises it. The input tape (tape 1) must only be processed from left to right (i.e. only the directions  $S$  and  $R$ , but not  $L$ , are allowed for tape 1).

G. (extra) Language  $L$  from Exercise B.

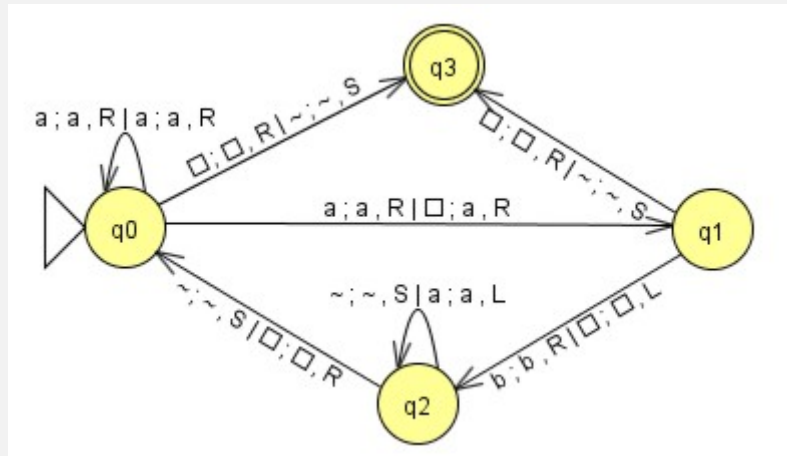
The following solution copies the  $a$  and  $b$  to tape 2, and then returns the read head from tape 2 to the start. Subsequently,  $c$  on tape 1 are read simultaneously with  $a$  on tape 2, and  $d$  on tape 1 are read simultaneously with  $b$  on tape 2. The machine accepts a word if eventually a blank is found on

tape 1 and 2 at the same time.



H. (extra) Language  $L$  from Exercise D.

The solution below reads  $as$  on tape 1 and 2 synchronously. (At the first iteration, there are no  $a$  on tape 2 yet, but later there are.) After this, another  $a$  is read on tape 1 and copied to tape 2; then a  $b$  on tape 1. Now, tape 2 returns to the start, and the previous is repeated. The machine accepts a word if eventually a blank is found on tape 1.



### 7.4 Two-way Turing machines

- I. Construct a two-way Turing machine with input alphabet  $\{a\}$  that accepts an input when it is of the form  $a^{2n+1}$  for some  $n \geq 0$ , but only if the read head is initially on the middle symbol.

The machine will oscillate, with a growing amplitude: first one step to the right, then two to the left, then three to the right, etc. The count is kept by converting every new  $a$  into an  $A$ . A word is accepted when a blank is found when moving to the right, and then another blank is found when moving to the left.



### 7.5 Non-deterministic Turing machines

- J. Construct a (possibly nondeterministic) two-tape Turing machine that decides the language  $L = \{uu \mid u \in \{a, b\}^*\}$  such that every computation on input  $w$  with  $n = |w| \geq 3$  terminates after at most  $2n + 3$  transitions.

Tape 1 is copied to tape 2. The non-determinism can be used to go back to the start of tape 2 at an arbitrary time and match the rest of tape 1 to the copy on tape 2. This results in the following Turing machine:



(Remember that an additional  $B/B R|B/B R$ -step is required at the start according to the method from the book.) Every accepting run (of a word  $w$  with  $|w| = n$ ) is  $3n/2 + 4$  steps long. (For  $n < 3$  this is greater than  $2n + 2$ .) If the word is not accepted, the maximum run is  $n + 1$  steps to copy the complete word, followed by  $n + 2$  steps in which the read head of tape 2 returns to the start, so  $2n + 3$  steps.