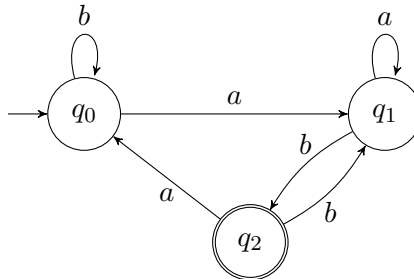


**Lecture L&M 4**

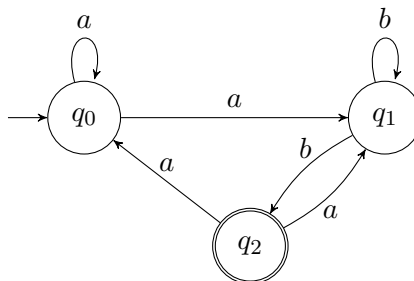
**Composition of Automata,  
Context-Free Grammars**

## 4.1 Projection and cartesian product

Let  $M_1$  be the automaton defined by the following state diagram:

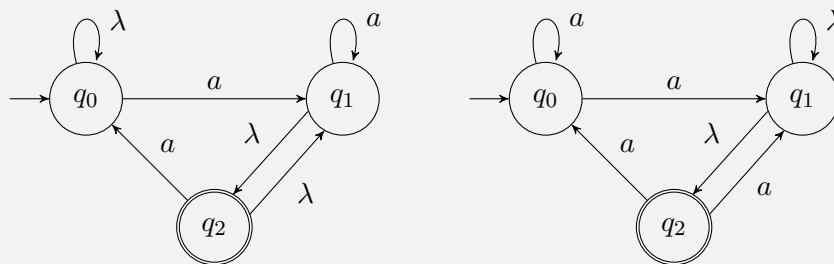


Let  $M_2$  be the automaton defined by the following state diagram:



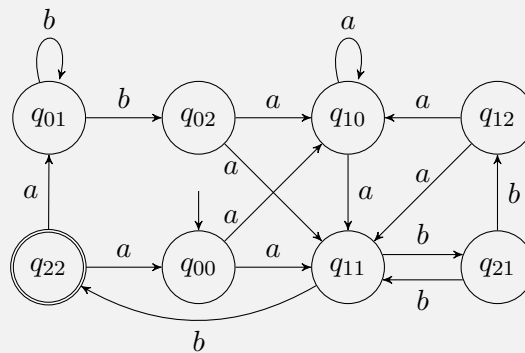
A. Draw the state diagrams of  $M_1 \upharpoonright \{a\}$  and  $M_2 \upharpoonright \{a\}$ .

On the left the projection on  $\{a\}$  of  $M_1$ , on the right the one of  $M_2$ :



B. Draw the state diagram of  $M_1 \times M_2$ .

The product automaton is given below. We write  $q_{ij}$  for the pair of states  $q_i$  from  $M_1$  and  $q_j$  from  $M_2$ .



Actually there are also  $a$  steps from (the unreachable node)  $q_{20}$  to  $q_{00}$  and  $q_{01}$  (not shown).

A state  $q_{ij}$  from the product automaton is accepting if  $q_i$  and  $q_j$  are *both* accepting (in the respective automata). This is necessary because we want the language of the product to be the intersection of the languages of the original automata.

## 4.2 Context-free grammars

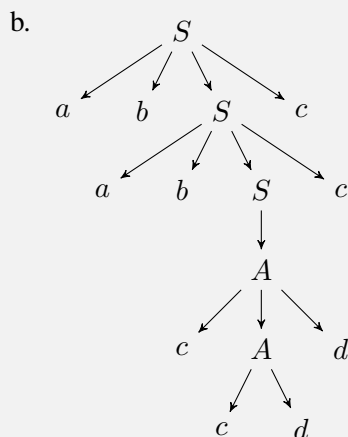
Do the following exercises from the book:

C. Let  $G$  be the grammar

$$\begin{aligned} S &\rightarrow abSc \mid A \\ A &\rightarrow cAd \mid cd. \end{aligned}$$

- Give the derivation of  $ababccddcc$ .
- Build the derivation tree for the derivation in part (a).
- Use set notation to define  $L(G)$ .

- a.  $S \Rightarrow abSc$   
 $\Rightarrow ababSc$   
 $\Rightarrow ababAcc$   
 $\Rightarrow ababcAdcc$   
 $\Rightarrow ababccddcc$



c. For example:  $L(G) = \{(ab)^n c^m d^m c^n \mid n \geq 0, m > 0\}$

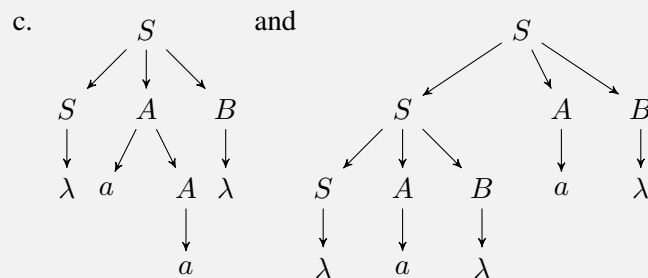
D. Let  $G$  be the grammar

$$\begin{aligned} S &\rightarrow SAB \mid \lambda \\ A &\rightarrow aA \mid a \\ B &\rightarrow bB \mid \lambda. \end{aligned}$$

- Give a leftmost derivation of  $abbaab$ .
- Give two leftmost derivations of  $aa$ .
- Build the derivation tree for the derivations in part (b).
- Give a regular expression for  $L(G)$ .

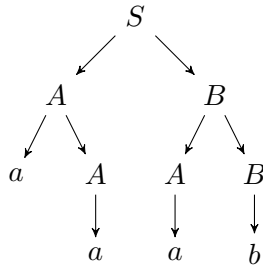
a. For example:  $S \Rightarrow SAB$   
 $\Rightarrow SABAB$   
 $\Rightarrow ABAB$   
 $\Rightarrow aBAB$   
 $\Rightarrow abBAB$   
 $\Rightarrow abbBAB$   
 $\Rightarrow abbAB$   
 $\Rightarrow abbaAB$   
 $\Rightarrow abbaaB$   
 $\Rightarrow abbaabB$   
 $\Rightarrow abbaab$

b. For example:  $S \Rightarrow SAB$  and  $S \Rightarrow SAB$   
 $\Rightarrow AB$                      $\Rightarrow SABAB$   
 $\Rightarrow aAB$                      $\Rightarrow ABAB$   
 $\Rightarrow aaB$                      $\Rightarrow aBAB$   
 $\Rightarrow aa$                        $\Rightarrow aAB$   
                                   $\Rightarrow aaB$   
                                   $\Rightarrow aa$



d. For example:  $(a^+b^*)^*$

E. Let DT be the derivation tree



- (a) Give a leftmost derivation that generates the tree DT.  
 (b) Give a rightmost derivation that generates the tree DT.

a)  $S \Rightarrow AB$   
 $\Rightarrow aAB$   
 $\Rightarrow aaB$   
 $\Rightarrow aaAB$   
 $\Rightarrow aaaB$   
 $\Rightarrow aaab$

b)  $S \Rightarrow AB$   
 $\Rightarrow AAB$   
 $\Rightarrow AAb$   
 $\Rightarrow Aab$   
 $\Rightarrow aAab$   
 $\Rightarrow aaab$

F. Construct a grammar over  $\{a, b\}$  whose language is  $\{a^m b^n \mid 0 \leq n \leq m \leq 3n\}$ .

We must ensure the right number of optional  $a$ :

$$\begin{aligned} S &\rightarrow aAASb \mid \lambda \\ A &\rightarrow a \mid \lambda \end{aligned}$$

An alternative:

$$\begin{aligned} S &\rightarrow ASb \mid \lambda \\ A &\rightarrow a \mid aa \mid aaa \end{aligned}$$

G. For the following grammar, give a regular expression or set-theoretic definition for the language of the grammar. Show that the grammar is ambiguous and construct an equivalent unambiguous grammar.  $S \rightarrow aSA \mid \lambda$

$$A \rightarrow bA \mid \lambda$$

- b.
- A regular expression:  $a^+ b^* \cup \lambda$ .
  - The string  $aab$  has two (leftmost) derivations:

$$\begin{array}{ll} S \Rightarrow aSA & S \Rightarrow aSA \\ \Rightarrow aaSAA & \Rightarrow aaSAA \\ \Rightarrow aaAA & \Rightarrow aaAA \\ \Rightarrow aabA & \Rightarrow aaA \\ \Rightarrow aab & \Rightarrow aab \end{array}$$

- The following grammar is unambiguous:

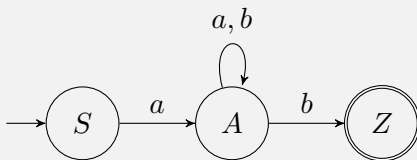
$$\begin{aligned} S &\rightarrow aS \mid aB \mid \lambda \\ B &\rightarrow bB \mid b \end{aligned}$$

This follows from the fact that the options for  $S$  lead to the (disjoint) sub-languages  $a(a^+b^* \cup \lambda)$ ,  $ab^+$  and  $\lambda$ , and those of  $B$  to strings with various numbers of  $bs$ .

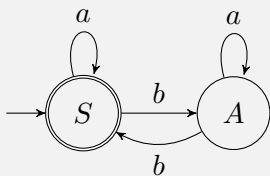
### 4.3 From regular grammars to NFA

For each of the following grammars  $G$ , construct an NFA  $M$  such that  $\mathcal{L}(M) = \mathcal{L}(G)$ .

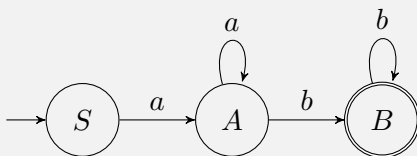
- H.  $G$  is the regular grammar:  $S \rightarrow aA$   
 $A \rightarrow aA \mid bA \mid b$ .



- I.  $G$  is the regular grammar  $S \rightarrow aS \mid bA \mid \lambda$   
 $A \rightarrow aA \mid bS$ .



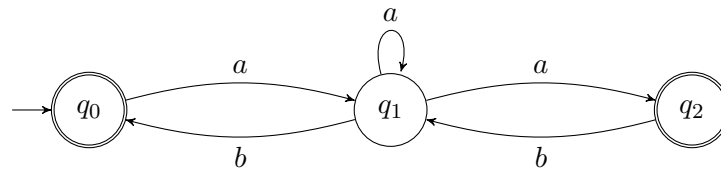
- J. (extra)  $G$  is the regular grammar  $S \rightarrow aA$   
 $A \rightarrow aA \mid bB$   
 $B \rightarrow bB \mid \lambda$ .



### 4.4 From NFA to regular grammars

Now, for each of the following NFA  $M$ , construct a regular grammar  $G$  such that  $\mathcal{L}(G) = \mathcal{L}(M)$ .

K.  $M$  is the NFA:



States become variables, transitions become rules, and accepting states produce an extra  $\lambda$ -rule. The initial state becomes the start variable.

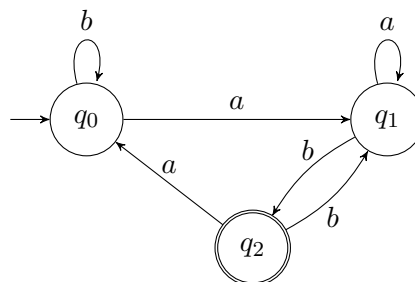
The CFG  $G := (\{Q_0, Q_1, Q_2\}, \{a, b\}, P, Q_0)$ , with the following set of rules  $P$ :

$$\begin{aligned} Q_0 &\rightarrow aQ_1 \mid \lambda \\ Q_1 &\rightarrow aQ_1 \mid bQ_0 \mid aQ_2 \\ Q_2 &\rightarrow bQ_1 \mid \lambda \end{aligned}$$

Note that  $G$  is automatically a regular grammar.

Also note that for, for example,  $Q_0$ , there are actually two rules.

L.  $M$  is the NFA:

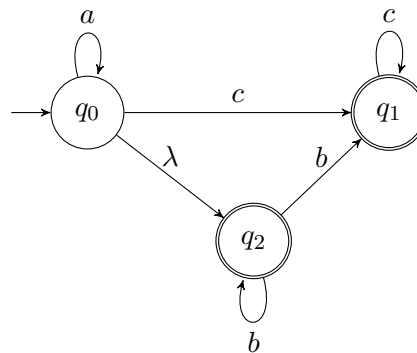


The CFG  $G := (\{Q_0, Q_1, Q_2\}, \{a, b\}, P, Q_0)$ , with the rules  $P$ :

$$\begin{aligned} Q_0 &\rightarrow bQ_0 \mid aQ_1 \\ Q_1 &\rightarrow aQ_1 \mid bQ_2 \\ Q_2 &\rightarrow aQ_0 \mid bQ_1 \mid \lambda \end{aligned}$$

M. (extra)  $M$  is the NFA:

(Note: First remove the  $\lambda$ -transitions!)



This construction only works for NFA, and not for NFA- $\lambda$ !

This is not stated clearly in the book, and was not said during the lecture.

Note: if you were to do this with the  $\lambda$ -steps present, you would obtain:

$$\begin{aligned} Q_0 &\rightarrow aQ_0 \mid cQ_1 \mid \lambda Q_2 \\ Q_1 &\rightarrow cQ_1 \mid \lambda \\ Q_2 &\rightarrow bQ_2 \mid bQ_1 \mid \lambda \end{aligned}$$

Apparently  $L(G) = L(M)$  applies, but the grammar is not regular, since  $\lambda Q_2$  is not of the form  $a, \lambda$  of  $aX$ . Simplifying  $\lambda Q_2$  to  $Q_2$  offers no help either.

Option 1 would be to replace  $Q_2$  in the rhs of  $Q_0$  by the rhs of  $Q_2$ . That preserves the language, and after that, it's regular: You will obtain CFG  $G_1 := (\{Q_0, Q_1, Q_2\}, \{a, b, c\}, P_1, Q_0)$ , with rules  $P_1$ :

$$\begin{aligned} Q_0 &\rightarrow aQ_0 \mid cQ_1 \mid \underline{bQ_2 \mid bQ_1 \mid \lambda} \\ Q_1 &\rightarrow cQ_1 \mid \lambda \\ Q_2 &\rightarrow bQ_2 \mid bQ_1 \mid \lambda \end{aligned}$$

The official method is to remove the  $\lambda$  transitions. This can be done by determinising the NFA- $\lambda$ . The input transition function will then be as follows:

	$a$	$b$	$c$
$q_0$	$\{q_0\}$	$\{q_1, q_2\}$	$\{q_1\}$
$q_1$	$\emptyset$	$\emptyset$	$\{q_1\}$
$q_2$	$\emptyset$	$\{q_1, q_2\}$	$\{q_1\}$

The automaton that is being constructed by this has states

$$\begin{aligned} Q_0 &= \{q_0, q_1\} \\ Q_1 &= \{q_1\} \\ Q_2 &= \{q_1, q_2\} \\ Q_3 &= \emptyset \end{aligned}$$

The initial state is  $Q_0$  and the accepting states are  $\{Q_0, Q_1, Q_2\}$ .

The CFG that corresponds to this is  $G_2 := (\{Q_0, Q_1, Q_2, Q_3\}, \{a, b, c\}, P_2, Q_0)$ , with rules  $P_2$ :

$$\begin{aligned} Q_0 &\rightarrow aQ_0 \mid bQ_2 \mid cQ_1 \mid \lambda \\ Q_1 &\rightarrow cQ_1 \mid aQ_3 \mid bQ_3 \mid \lambda \\ Q_2 &\rightarrow bQ_2 \mid cQ_1 \mid aQ_3 \mid \lambda \\ Q_3 &\rightarrow aQ_3 \mid bQ_3 \mid cQ_3 \end{aligned}$$

Note that  $L(Q_3) = \emptyset$ , because you can never get rid of the symbol  $Q_3$ : there is no terminating rule for  $Q_3$ . That's why you can simplify this to  $G_3 := (\{Q_0, Q_1, Q_2\}, \{a, b, c\}, P_3, Q_0)$ , with rules  $P_3$ :

$$\begin{aligned} Q_0 &\rightarrow aQ_0 \mid bQ_2 \mid cQ_1 \mid \lambda \\ Q_1 &\rightarrow cQ_1 \mid \lambda \\ Q_2 &\rightarrow bQ_2 \mid cQ_1 \mid \lambda \end{aligned}$$