

# Languages and Machines (Module 7 TCS+IAM)

## L&M 8: Turing Machines and Unrestricted Grammars

### (Undecidability of the Halting Problem)

Ch8:1-7; Ch11:1; Ch11:4-5; Ch12:1; Ch12:4

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Lecture 8

# Recall Turing Machines

## Turing Machines:

- Finite Automaton + Unbounded Tape
  - Read/Modify the tape and move to the Left/Right
  - $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$

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- Equivalent Alternatives
  - Standard Turing Machine (1 tape, deterministic)
  - 2-sided tape, multiple tracks, multiple tapes
  - Non-deterministic Turing Machine (backtracking)

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- Equivalent Alternatives
  - Standard Turing Machine (1 tape, deterministic)
  - 2-sided tape, multiple tracks, multiple tapes
  - Non-deterministic Turing Machine (backtracking)
- Language Acceptance by TM
  - TM **accepts**  $w$  if TM halts in a final state
  - $L$  is **recursively enumerable**:  $L$  is recognised by some TM, which then accepts precisely all words  $w \in L$ .
  - $L$  is **recursive**:  $L$  is decided by some TM, which accepts all words  $w \in L$ , and moreover it *halts on all inputs in  $\Sigma^*$*  and

# Contents

- 1 Unrestricted Grammars
- 2 The Chomsky Hierarchy
- 3 The Universal Turing Machine
- 4 Decision Problems and the Halting Problem
- 5 Undecidability

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# Unrestricted grammars

Left side contains (besides a variable) *context information*

Grammar:

$S \rightarrow aAbc \mid \lambda$

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## Definition

An *unrestricted grammar* is a tuple  $\langle V, \Sigma, P, S \rangle$  with

- $V$  a set of variables
- $\Sigma$  the alphabet
- $P \subseteq (V \cup \Sigma)^+ \times (V \cup \Sigma)^*$  a set of rules
- $S \in V$  the start symbol.

## Example: unrestricted grammar for $w[w]$

The following grammar generates the language

$\{w[w] \mid w \in \{a, b\}^*\}$ :

$$S \rightarrow aT[a] \mid bT[b] \mid []$$

$$T[ \rightarrow aT[A \mid bT[B \mid [$$

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- $S$  and  $T$  generate  $\{xw[W^R x] \mid x \in \{a, b\}, w \in \{a, b\}^*\}$
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# Relation unrestricted grammars $\leftrightarrow$ Turing machines

Theorem (Theorem 10.1.2 and 10.1.3)

$L$  recursively enumerable  $\Leftrightarrow \exists$  unrestricted grammar  $G$  with  $L=L(G)$

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Given a Turing machine  $M$ , construct an unrestricted grammar  $G_M$  such that  $L(G_M) = L(M)$ .

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Construction-idea: Use a 3-tape machine:

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Terminate if Tapes 1 and 3 are equal

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Idea of the functioning of  $G_M$  in three phases:

- Rules to generate any word  $w[q_0Bw]$  from  $S$ 
  - The first part  $w$  is the word that will be recognized
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- Give grammar rules that simulate the functioning of  $M$ , for example:
  - For all  $q_i \xrightarrow{x/z R} q_j$  and all  $y \in \Gamma$ , we include a rule  $q_i xy \rightarrow zq_j y$  in the grammar

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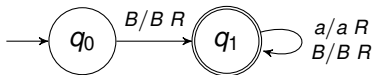
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- Remove the final part  $[\cdot \cdot \cdot]$  only if a final state is reached
  - This can be done with a number of simple “Erasure” rules

## Example: Turing machine to unrestricted grammar

- Turing machine for the (regular) language  $a^*b(a \cup b)^*$ :

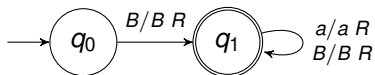


- Symbols of  $G_M$ :

$$\Sigma = \{a, b\}, V = \{S, T, E_R, E_L, [, ], A, X, B, q_0, q_1\}$$

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**Phase 1** ( $z \in \{a, b\}$ )

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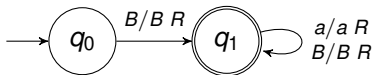
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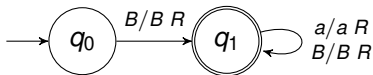
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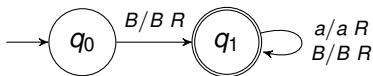
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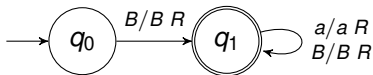
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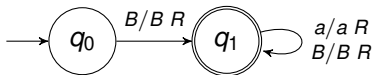
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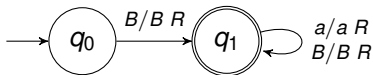
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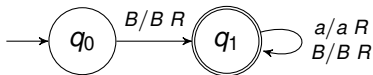
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- 1 Unrestricted Grammars
- 2 The Chomsky Hierarchy**
- 3 The Universal Turing Machine
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# The Chomsky Hierarchy

Classification of languages (sets of words over  $\Sigma^*$ ):

## Chomsky Hierarchy:

Type	Languages	Grammars	Machines
Type 0			
Type 2			
Type 3			

- Vertically downwards:
  - formalisms become less powerful, languages become smaller
  - easier to analyze
- Horizontal:
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Type 3	Regular	Regular	Finite (DFA/NDA)

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We have seen that Turing Machines can simulate:

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What should be the input to that Universal Turing Machine?

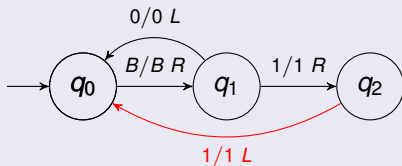
# Encode a Turing Machine as input

- A Turing Machine can be encoded in 0's and 1's.
- Let  $R(M)$  be the code of Turing Machine  $M$ .
- One can then use  $R(M)$  as input for another TM  $M'$ .

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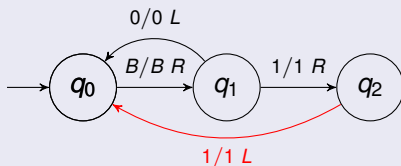


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1110110101101

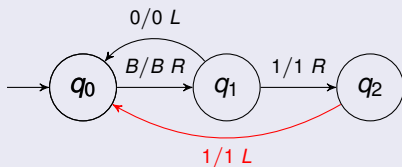
## Encoding:

0	1
1	11
B	111
$q_0$	1
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$q_2$	111
L	1
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$R(M) = 000101110110111011100110101010100110110111011011001110110101101000$

# The Universal Turing Machine

We can now build one Universal Turing Machine  $U$ :

For every Turing Machine  $M$  the following applies:

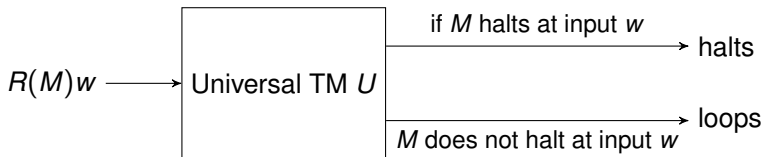
- If  $M$  halts for input  $w$ : Then  $U$  also halts for input  $R(M)w$
- If  $M$  does not terminate for input  $w$ : Then  $U$  will also not terminate for input  $R(M)w$

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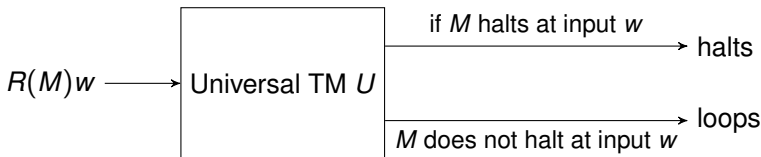


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So  $\mathcal{L} = \{R(M)w \mid \text{TM } M \text{ halts with input } w\}$  is **recursively enumerable**.

# Church-Turing thesis

Computer = Universal Turing Machine

$U$  = hardware,  $R(M)$  = software (!)

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A procedure is effective if it has the following properties:

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**Church-Turing thesis**

Every effective procedure can be encoded as a Turing Machine.

But then it becomes interesting to investigate which problems a Turing machine can **not** solve!

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# Decision problems and solutions

## Definition: Decision problem

A *decision problem* is a question that takes some input and provides a yes/no answer

### Example:

- Is a given number a square?
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A Turing machine  $M$  solves a decision problem if  $M$  always

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**Church' thesis:** A problem is decidable  $\iff$  a TM exists for it

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Using a suitable representation, we have encoded decision problems as input to a Turing Machine.

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- Question: Do undecidable problems exist?
- Equivalent question: Do non-recursive languages exist?
- Answer **yes!** And this puts a limit to what computers can do

# Problem Reduction

A problem can be solved directly by providing a TM that decides it.  
Alternative, we could reduce it an already solved problem:

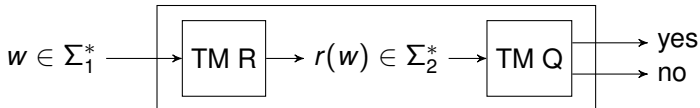
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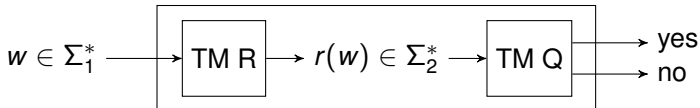
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## Problem Reduction and Decidability

- If  $L$  is reducible to  $Q$  and  $Q$  is decidable, then  $L$  is decidable
- If  $L$  is reducible to  $Q$  and  $L$  is not decidable, then  $Q$  is also not decidable

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Will a given Turing machine halt when provided a given input?

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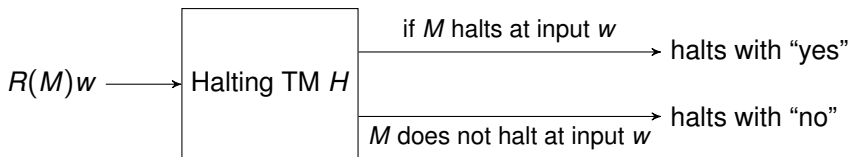
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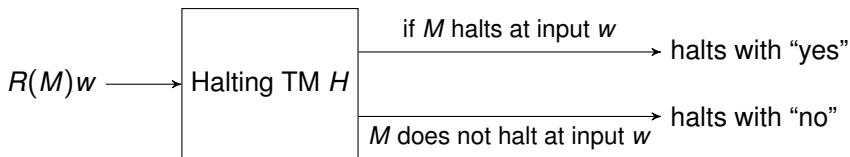
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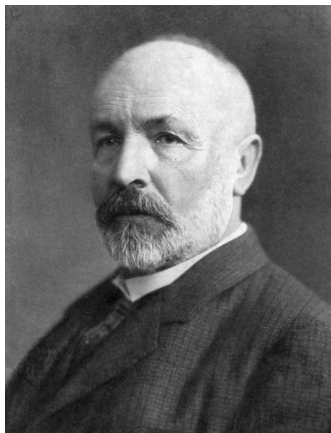
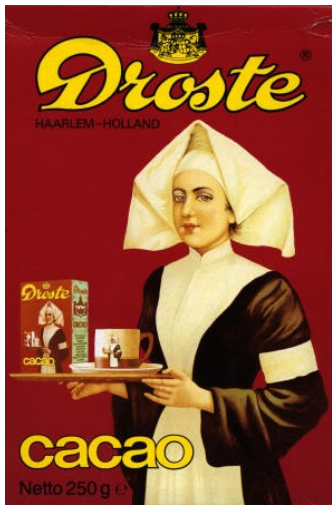
- Turing machine and input word are parameters of this problem
- Required: encoding of Turing machine  $M$ :  $R(M) \in \Sigma^*$

## Theorem

The halting problem is undecidable.

Proof: by contradiction and self-reference (diagonalisation).

# Self reference



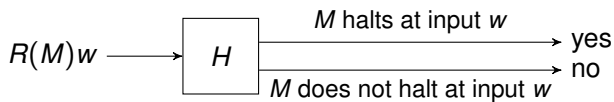
Georg Cantor (1845-1918)

The Droste effect

(Remember Cantor's diagonal argument?)

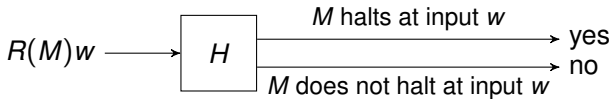
# Undecidability of the *halting* problem

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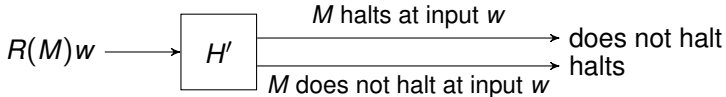


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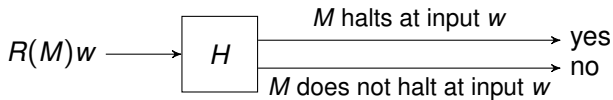


- 2 Transform this into  $H'$  by not terminating in every successful state

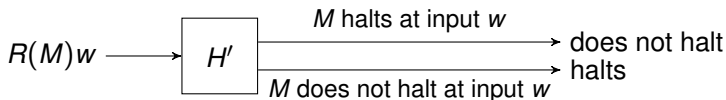


# Undecidability of the *halting* problem

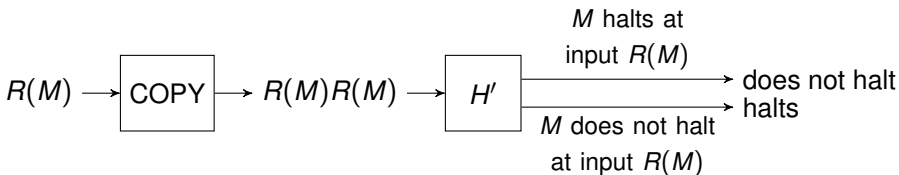
- 1 Suppose we have a solution  $H$  of the halting problem



- 2 Transform this into  $H'$  by not terminating in every successful state

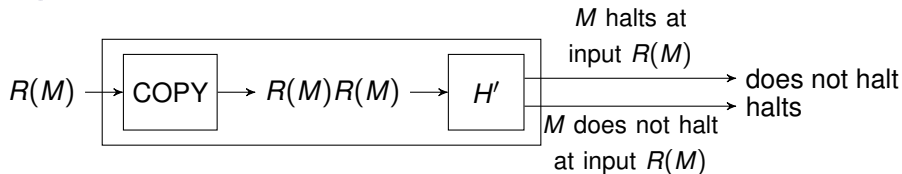


- 3 Use the encoding  $R(M)$  as input  $w$



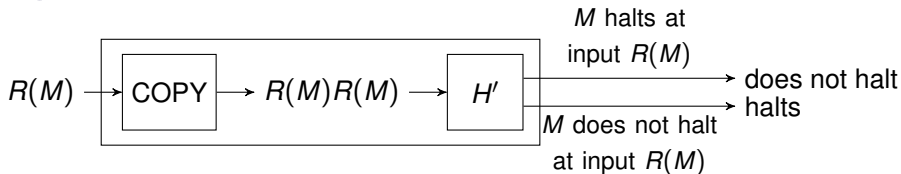
# Undecidability of the *halting* problem, continuation

3 Name the new machine  $D$

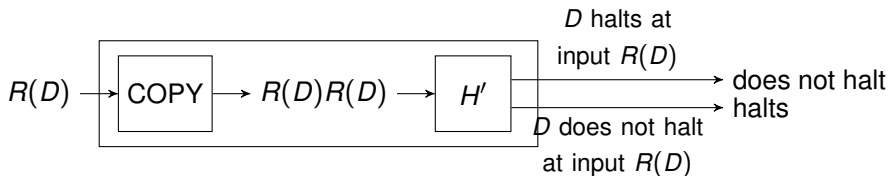


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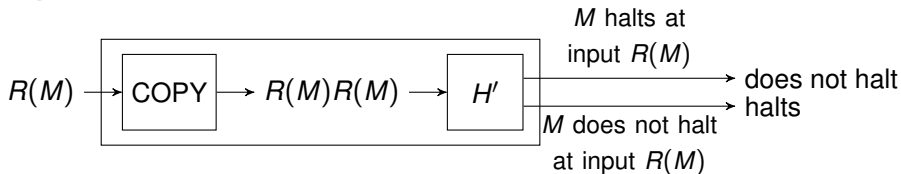


- 4 Give the encoding of  $D$  to itself as input

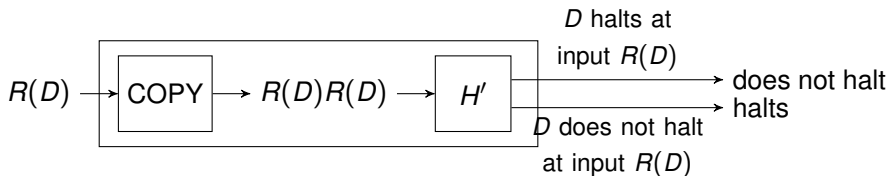


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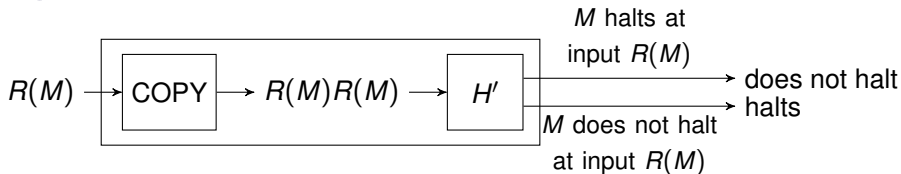
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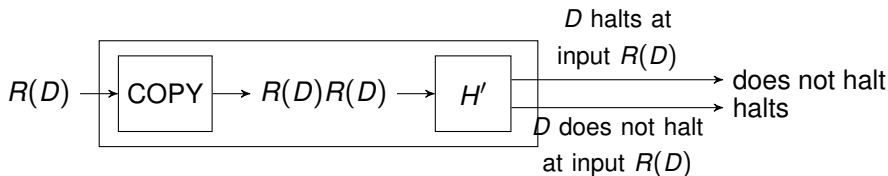
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- 5 Contradiction: the computation halts  $\iff$  the computation does not terminate
- 6 Conclusion: the original machine  $H$  cannot exist

# Direct consequences of the Halting Problem

- There is no program that can check the termination of all other programs, which (given unbounded resources) always terminates with the correct answer.
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- The class of recursive languages is *strictly smaller* than the class of recursively enumerable languages:
  - The Halting Problem is not a recursive language
  - The Halting Problem is recursively enumerable (universal TM)
- Example:
  - The language of all syntactically correct Python programs is recursive
  - The language of all *terminating* Python programs is recursively enumerable, but not recursive!

# More Consequences

By Problem Reduction: many other problems are also undecidable

- Blank Tape Problem – does  $M$  halt with an empty tape as input?
- **Strategy:** Reduce Halting Problem to Blank Tape Problem (!)
- Input for Halting Problem:  $R(M)w$ .
- Transform this input to:  $R(M')$ , where  $M'$  first writes word  $w$  and then executes  $M$  on  $w$ .
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## Rice's Theorem

Every non-trivial property of recursively enumerable languages of the form  $\mathcal{L}(M)$  is **undecidable**

Examples:

- Is  $\mathcal{L}(M)$  empty? context-free? regular? Is  $\lambda \in \mathcal{L}(M)$ ?
- Are  $\mathcal{L}(M)$  and  $\mathcal{L}(M')$  equivalent?

# Other Undecidable Problems

## Decidable:

- Given CFG  $G$  and word  $w$ : is  $w \in \mathcal{L}(G)$ ?

## Undecidable:

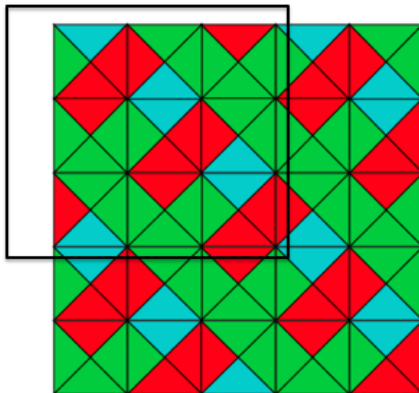
- Given CFG  $G_1$  and  $G_2$ . Is  $\mathcal{L}(G_1) = \mathcal{L}(G_2)$ ?
- Post's Correspondence Problem:
  - Input: two finite sequences of words ("dominos")  
 $x_1, \dots, x_n$  and  $y_1, \dots, y_n$ .
  - Question: is there a finite sequence of dominos (with copies) such that  $x_{f(1)}, \dots, x_{f(m)} = y_{f(1)}, \dots, y_{f(m)}$ ?
- Tiling Problem: Given  $n$  tiles, can we tile any  $m \times m$  area?



# Positive Tiling Instance



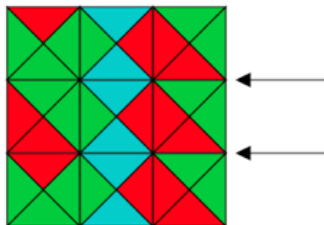
YES



# Negative Tiling Instance



NO



# Seen this week

## Chomsky Hierarchy

- Turing Machines
  - Multi-tape, Non-deterministic – it does not matter
- Unrestricted grammars
  - Equivalent to Turing machines (recursively enumerable languages)
- Seen before: Context-free grammars
  - Equivalent to pushdown automata
- Regular grammars
  - Equivalent to finite automata and regular expressions

## Undecidability

- Not all problems can be solved efficiently (P vs NP)
- Some problems cannot be solved at all! (halting problem)

Watch this great animation:

<https://www.youtube.com/watch?v=92WHN-pAFCs>